SEC Form 4
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FORM 4

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# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL 

OMB Number:	3235-0287							
Estimated average burden								
hours per response:	0.5							

Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).			Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940		11	Estimated average burden hours per response:	
1. Name and Address of Reporting Person* Croke Gary			2. Issuer Name and Ticker or Trading Symbol <u>AVIAT NETWORKS, INC.</u> [ AVNW ]		ationship of Reporting k all applicable) Director Officer (give title	10% Owr Other (sp	ner
	(First) WORKS, INC. R DRIVE, SUITI	(Middle) E C100A	3. Date of Earliest Transaction (Month/Day/Year) 09/01/2021		below) VP Marketin	below)	
(Street) AUSTIN	TX	78728	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	vidual or Joint/Group Form filed by One Form filed by More Person	Reporting Person	
(City)	(State)	(Zip)		1			

(Sta	te)	(Zip)	

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1130. 4)
Common Stock	09/01/2021		<b>A</b> <sup>(1)</sup>		1,411	Α	\$0	20,709	D	
Common Stock	09/01/2021		A <sup>(2)</sup>		1,411	Α	\$0	22,120	D	

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Expiration D		biration Date Amo onth/Day/Year) Sec Und Deri		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		Derivative Security (Instr. 5) Security		9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares					
Non- Qualified Stock Option (right to buy)	\$35.97	09/01/2021		A		3,425		(3)	09/01/2028	Common Stock	3,425	\$0	3,425	D		

## Explanation of Responses:

1. This represents a Restricted Stock Unit grant that vest annually over three years from date of grant.

2. This represents a Performance Restricted Stock Unit with three year performance period from date of grant. This will cliff vest three years from grant date upon meeting performance metrics.

3. This represents a Stock Option grant that vest annually over three years from date of grant.

### Remarks:

#### /s/ Chris Wong, Director of Accounting and POA.

09/03/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.